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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|-------------------------|
| 09/973,678 | 10/05/2001 | Scott S. Lawton | CTK-007.01 (21910-0701) |

25181
FOLEY, HOAG & ELIOT, LLP
PATENT GROUP
ONE POST OFFICE SQUARE
BOSTON, MA 02109

CONFIRMATION NO. 5769

FORMALITIES LETTER



OC00000007070898

Date Mailed: 11/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$72.
 - \$72 for 8 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 507.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

RECEIVED (DATE) (TIME) (OFFICE)

01/10/2002 10:00 AM
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**FQLEY
HOAG**
ATTORNEYS AT LAW

SECTOR
Gw - \$

COPY OF PAPERS
ORIGINALLY FILED



January 14, 2002

William D. Devaul
Boston Office
617.832.1288
wdevaul@foleyhoag.com

Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

Re: U.S. Patent Application No.: **09/973,678** - filed: October 5, 2001
Entitled: *Method and System for Combining User-Supplied Sort Information
With Secondary Sort Information*
By: Scott. S. Lawton
Docket No.: CTK-007.01 (21910-701)

Dear Sir:

In response to the Notice to File Missing Parts mailed November 14, 2001,
Applicants enclose for filing in the above-referenced patent application the following:

1. This Missing Parts Transmittal Letter with a written assertion of Small Entity Status with a Certificate of First Class Mailing (*in duplicate*);
2. A copy of the Notice to File Missing Parts of Nonprovisional Application;
3. A combined Declaration and Power of Attorney executed by Scott. S. Lawton; and
4. A return receipt postcard.

Applicant claims small entity status under 37 CFR 1.27 (as amended on September 8, 2000) for this application. Based on small entity status, a check in the amount of \$507.00 for this response which includes payment for the basic application fee (\$370.00), the additional claim fees (\$72.00) and the surcharge for the late filing of a declaration (\$65.00) to Deposit Account No. **06-1448**.

The Commissioner is also authorized to charge any underpayments or credit any overpayments to our Deposit Account No. **06-1448**.

20/487642.1

Certificate of First Class Mailing


I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail, postage prepaid in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231 on the date set forth below:

January 14, 2002

Date


Terrill Williams

Respectfully submitted,
FOLEY HOAG



William D. Devaul

Reg. No. 42,483

Attorney for Applicants

Customer ID No. 25181